**State Plan For
Independent Living**

**(SPIL)**

Rehabilitation Act of 1973, as Amended, Chapter 1, Title VII

**Part B - Independent Living Services**

###### Part C - Centers for Independent Living

## **State: Kentucky**

## **FISCAL YEARS: 2021-2023**

**Effective Date: October 1, 2020**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number (OMB 0985-0044). Public reporting burden for this collection of information is estimated to average 240 hours per response, including time for gathering and maintaining the data needed and completing and reviewing the collection of information. The obligation to respond to this collection is required to receive financial assistance (Title VII of the Rehabilitation Act of 1973, as amended.

**Executive Summary**

The Kentucky Statewide Independent Living Council, in concert with the Centers for Independent Living, Department for Aging and Independent Living and other partners developed this Plan to advance the ability of Kentuckians with disabilities to live independently and participate fully in their communities.

These partners developed goals, objectives and activities to educate decision makers, government officials and people with disabilities around the Commonwealth about the services and needs of independent living in Kentucky. These activities include meeting with legislators, touring CILs in the state and communicating with the public about the SILC, CILs and Independent Living resources.

We developed a plan to expand the current network of CILs if additional funding becomes available. The current network includes CILs around the state, but many counties in between these CILs are unserved at this time.

The SILC coordinator works closely with the Governor’s office to submit applications for the Governor’s consideration of appointments. These applications are reviewed by the Nominating Committee and the members of the SILC to determine eligibility and suitability of the applicants. Each member of the SILC is responsible for soliciting candidates for the Council.

While this plan will be a challenge for the IL network in Kentucky, we will all work together to achieve an improved network for the citizens of Kentucky.

Section 1: Goals, Objectives and Activities

* 1. Mission:

The overall mission of Kentucky Independent Living programs, which includes the State Independent Living Council, the Centers for Independent Living and other person centered and self-directed programs operated by the Department for Aging and Independent Living, is to advance the ability of Kentuckians with disabilities to live independently and participate fully in their communities.

The mission of the Office of Vocational Rehabilitation as it relates to the parts of this SPIL administered by that agency is to advance the ability of Kentuckians who are blind or visually impaired to live independently and participate fully in their communities. The Office of Vocational Rehabilitation shares the goals of this SPIL.

* 1. Goals:

The goals of the SILC are as follows:

1. Increase knowledge, awareness, and understanding of independent living needs of Kentuckians with disabilities by state and local policy makers.
2. Improve access to information regarding resources and benefits to Kentuckians with disabilities;
3. Increase the efficiency and effectiveness of the KYSILC and Independent Living Network; and
4. Increase the capacity of the Independent Living network to ensure access to Independent Living services.

The goals of the Centers for Independent Living mirror those of the SILC with the exception of goal three listed above which would be reworded to state: *“Increase the capacity and effectiveness of the CILs”.*

1.3 Objectives

Objectives for the three-year period of the plan – including geographic scope, desired outcomes, target dates, and indicators. Including compatibility with the purpose of Title VII, Chapter 1.

| **GOAL** | **OBJECTIVE** | **ACTIVITIES** | **LEAD AGENCY** | **START DATE** | **END DATE** |
| --- | --- | --- | --- | --- | --- |
| 1. **Increase knowledge, awareness, and understanding of the independent living needs of Kentuckians with disabilities by state and local policy makers.**
 | *1A: Enhance knowledge and awareness of independent living services among state legislators.* | 1. Members of the SILC and disability advocates associated with CILs shall meet at least twice a year with members of the legislature to discuss issues important to individuals with disabilities.
2. Representatives of the SILC, CIL and DSE shall seek to conduct a joint educational presentation on independent living to the interim joint committee on Health and Welfare.
3. Train members of the SILC how to advocate and educate legislators regarding the issues that are important to people with disabilities in Kentucky.
4. Encourage members of the independent living community to connect with their legislators and share information on independent living services and needs in the Commonwealth.
 | SILC/CILsSILC/CILs/DSESILCSILC/CILs | October 2020October 2020October 2020October 2020 | Twice a yearAnnuallyAnnuallyOngoing  |
|  | *Objective 1-B: Enhance knowledge and awareness* of *independent living services among local agencies and communities.* | 1. A member of the SILC and/or CIL shall conduct joint educational presentations regarding the resources available and purpose of the SILC and CILs to local human service agencies and charities, county school boards or other community meetings in at least 12 counties in the state per state plan year.
2. The SILC shall disseminate SILC approved messages to local media outlets, including newspapers, radio stations, and social media outlets at least twice per year on issues that pertain to individuals with disabilities.
 | SILC/CILsSILC | October 2020October 2020 | AnnuallyAnnually |
| **Goal 2: Improve access to information regarding resources and benefits to Kentuckians with disabilities.** | *Objective 2-A: Explore ways to provide access to information regarding resources and benefits to Kentuckians with disabilities.* | 1. The SILC, in conjunction with the DSE, shall develop marketing materials to be distributed by members of the SILC and CILs in public places such as libraries and doctor offices.
2. CILs shall participate in at least 12 Independent Living sponsored resource events per plan year. The resource events shall be conducted in public settings such as libraries or restaurants where individuals can stop by and obtain information regarding independent living resources and options.
3. The SILC and CILs shall develop partnerships with postsecondary institutions, focusing on relevant academic departments and disability resource services.
 | SILCCILsSILC and CILs | October 2020October 2020October 2020 | OngoingAnnuallyOngoing |
|  | *Objective 2-B: Share information within the Independent Living Network.* | 1. Utilizing flyers, pamphlets, and word of mouth, SILC members and CILs shall educate 1,500 individuals on the support services offered through the Independent Living Network at presentation events, booth interactions, individual conversations and other events.
 | SILC/CILs | October 2020 | Annually |
| **Goal 3: Increase the efficiency and effectiveness of the KYSILC and independent living network*.*** | *Objective 3-A: Increase knowledge of SILC members.* | 1. Provide access to at least four training opportunities to SILC members and the CILs utilizing the national resource center and outside facilitators.
2. Each SILC Member shall tour a CIL in Kentucky each year.
 | SILCSILC | October 2020October 2020 | AnnuallyAnnually |
|  | *Objective 3-B: Explore strategies to increase the effectiveness of the SILC.* | 1. SILC members shall share experiences related to serving the disability community at each quarterly meeting.
2. Plan and conduct an annual joint meeting with representatives from the Kentucky Statewide Council for Vocational Rehabilitation (SCVR), Kentucky Commonwealth Council on Developmental Disabilities Council, Hart Supported Living Council and the Brain Injury Trust Fund Board and the Protection and Advocacy Developmental Disabilities Advisory Council and/or other similar organizations.
3. Review status of the SPIL in each SILC general meeting to ensure completion of goals and objectives.
 | SILCSILCSILC | October 2020January 2020October 2020 | QuarterlyAnnuallyQuarterly |
|  | *Objective 3-C: Obtain and effectively use the entire SILC budget and resources.* | 1. Develop and monitor the SILC budget in collaboration with the DSE.
 | SILC/DSE | October 2020 | Each regular meeting of the SILC |
|  | *Objective 3-D: Increase efficiency and effectiveness of the independent living network.* | 1. Continue to provide the five core independent living services through the Kentucky Independent Living network.
2. Work with the DSE to implement contractual quality improvement and fiscal management measures for the CILs.
3. Ensure the network uses unified descriptions and methods of calculating numbers of services when reporting CIL activities to the DSE and SILC.
 | CILsDSE/CILsDSE/CILs | October 2020October 2020October 2020 | OngoingOngoingOngoing |
| **Goal Four: Increase the capacity of the Independent Living network to ensure access to Independent Living services.** | *Objective 4-A: Increase**access to independent living services for Kentuckians with disabilities.* | 1. Advocate for additional funding from the legislature or DSE to increase base funding for the CILs.
2. Explore alternate funding opportunities for the CILs through diversifying programs in order to expand outreach across the state.
 | SILC/CILsDSE/CILs | January 2021October 2020 | OngoingOngoing |
|  | *Objective 4-B: Explore expansion of services to un-served counties.* | 1. Provide independent living services to at least 300 individuals who are over 55 and blind or visually impaired.
2. Add resources to the KY SILC website to reach individuals in un-served areas.
3. Identify underserved populations and develop strategies to increase services.
 | OVRSILCSILC/CILs/DSE | October 2020October 2020October 2020 | AnnuallyOngoingOngoing |

1.4 Evaluation

Methods and processes the SILC will use to evaluate the effectiveness of the SPIL including timelines and evaluation of satisfaction of individuals with disabilities.

SPIL Committee will review the SPIL Quarterly and report progress to the full SILC at its Quarterly Meeting. Areas for improvement will be discussed with the SILC and potential changes will be developed when necessary and amendments would be submitted for approval to ACL.

To facilitate the SILC’s duty to monitor, review, and evaluate the implementation of the State plan, the Kentucky state IL network agrees to the following performance measures to measure progress toward the goals and objectives in sections 1.2 and 1.3 above.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Timeline** | **Goals** **(copy from section 1.2 above)** | **Objectives****(copy from section 1.3 above)** | **Data to be collected** | **Data collection method** | **Organization primarily responsible for data collection (please check as many as apply)** |
| Throughout 3-year period | Increase knowledge, awareness, and understanding of the independent living needs of Kentuckians with disabilities by state and local policy makers.  | Enhance knowledge and awareness of independent living services among state legislators. | Number of meetings with Legislators and SILC/CIL representative; Number of meetings scheduled withJoint Health & Welfare Committee; Number of advocacy presentations; Number of IL Networkn contacts with Legislators | Self-report from SILC members; Minutes from SILC meetings where training is completed; Surveys distributed through CILs | * CIL
* DSE
* SILC
 |
| Throughout 3-year period | Same as above | Enhance knowledge and awareness of independent living services among local agencies and communities. | Number of joint educational presentations; and number of media posts from SILC members. | Self-report from SILC members and CILs. | * CIL
* SILC
 |
| Throughout 3-year period | Improve access to information regarding resourcesand benefits to Kentuckians with disabilities. | Explore ways to provide access to information regarding resources and benefits to Kentuckians with disabilities. | Number of brochures and flyers distributed; number of resource events attended; number of partnerships developed. | Self-report from SILC members and CILs. | * CIL
* SILC
 |
| Throughout 3-year period | Same as above | Share information within the Independent Living Network. | Administrative data | Reports from CILs & self report from SILC Members | * CIL
* SILC
 |
| Throughout 3-year period | Increase the efficiency and effectiveness of the KYSILC and independent living network. | Increase knowledge of SILC members. | Number of trainings completed by SILC members & number of tours of CILs by SILC members. | Self report from SILC members. | * SILC
 |
| Throughout 3-year period | Same as above | Explore strategies to increase the effectiveness of the SILC. | Minutes from quarterly meetings & Number of joint meetings conducted. | Administrative data | * SILC
 |
| Throughout 3-year period | Same as above | Obtain and effectively use the entire SILC budget and resources. | Completed budget for SILC | Administrative data | * DSE
* SILC
 |
| Throughout 3-year period | Same as above | Increase efficiency and effectiveness of the independent living network. | CIL PPRs, CIL/DSE contracts. | Administrative data/PPRs | * DSE
* SILC
 |
| Throughout 3-year period | Increase the capacity of the Independent Living network to ensure access to Independent Living services. | Increase access to independent living services for Kentuckians with disabilities. | Number of contacts with legislators and Cabinet officials regarding funding for CILs & number of grant and additional funding applications submitted. | Self report from CILs & CIL PPRs | * CIL
* SILC
 |
| Throughout 3-year period | Same as above | Explore expansion of services to un-served counties. | Provide independent living services to at least 300 Number of individuals who are over 55 and blind or visually impaired who received IL services; Number of resources added to the KY SILC website to reach individuals in un-served areas & number of underserved populations identified. | Administrative Data & OVR PPR | * CIL
* SILC
 |

1.5 Financial Plan

Sources, uses of, and efforts to coordinate funding to be used to accomplish the Goals and Objectives. Process for grants/contracts, selection of grantees, and distribution of funds to facilitate effective operations and provision of services.

|  |
| --- |
| **Fiscal Year(s):**  |
| **Sources**  | **Projected Funding Amounts and Uses** |
|  | SILC Resource Plan  | IL Services  | General CIL Operations  | Other SPIL Activities | Retained by DSE for Administrative costs (applies only to Part B funding) |
| **Title VII Funds** |  |  |  |  |  |
| Chapter 1, Part B (including state match) |  | **$39,696 (OVR)** | **$282,085.15 (Part B)** |  | $16,935.85 |
| Chapter 1, Part C |  |  | **$907,179 (Part C)** |  |  |
|  |  |  |  |  |  |
| **Other Federal Funds** |  |  |  |  |  |
| Sec. 101(a)(18) of the Act (Innovation and Expansion) | $65,000 (I and E) |  |  |  |  |
| Social Security Reimbursement |  |  |  |  |  |
| Other |  | $534,800 TOTAL$234,800SSA and HUD$300,000 Medicaid Waiver |  |  |  |
|  |  |  |  |  |  |
| **Non-Federal Funds** |  |  |  |  |  |
| State Funds |  | **$10,900,000 TOTAL**$6,800,000 DAIL-HSL$4,100,000 DAIL -PCAP | **$2,330,000 TOTAL** $2,120,000 CAL for PCAP$210,000 CIL support from DAIL SGF | $16,935.85 State Share Part B |  |
| Other |  | $238,00CAL local ramp |  |  |  |

Description of financial plan narrative.

**The financial plan provides a combination of funds to the CILs and SILC in order to accomplish the goals and objectives identified in this SPIL. All Chapter 1, Title VII, Parts C funds shall be awarded for the direct provision of IL services and the general operation of the CILs. Part B funds awarded under contract by the DSE shall be utilized for the general operation of CILs and the SILC and the enhancement and expansion or initiation of other independent living services as determined by the SILC.**

**Section 2: Scope, Extent, and Arrangements of Services**

2.1 Services

Services to be provided to persons with disabilities that promote full access to community life including geographic scope, determination of eligibility and statewideness.

| Table 2.1A: Independent living services | Provided using Part B (check to indicate yes) | Provided using other funds (check to indicate yes; do not list the other funds) | Entity that provides (specify CIL, DSE, or the other entity) |
| --- | --- | --- | --- |
| Core Independent Living Services, as follows:* Information and referral
* IL skills training
* Peer counseling
* Individual and systems advocacy
* Transition services including:
* Transition from nursing homes & other institutions
* Diversion from institutions
* Transition of youth (who were eligible for an IEP) to post-secondary life
 | X |  | CIL |
| X | X | CIL; OVR |
| X |  | CIL |
| X |  | CIL |
| X |  | CIL |
| Counseling services, including psychological, psychotherapeutic, and related services |  |  |  |
| Services related to securing housing or shelter, including services related to community group living, and supportive of the purposes of this Act and of the titles of this Act, and adaptive housing services (including appropriate accommodations to and modifications of any space used to serve, or occupied by, individuals with disabilities)Note: CILs are not allowed to own or operate housing. |  | X | CIL |
| Rehabilitation technology | X | X | CIL, OVR |
| Mobility training | X | X | CIL, OVR |
| Services and training for individuals with cognitive and sensory disabilities, including life skills training, and interpreter and reader services | X | X | CIL |
| Personal assistance services, including attendant care and the training of personnel providing such services |  | X | DSE |
| Surveys, directories, and other activities to identify appropriate housing, recreation opportunities, and accessible transportation, and other support services | X |  | CIL |
| Consumer information programs on rehabilitation and IL services available under this Act, especially for minorities and other individuals with disabilities who have traditionally been unserved or underserved by programs under this Act | X |  | CIL |
| Education and training necessary for living in the community and participating in community activities | X |  | CIL |
| Supported living |  |  |  |
| Transportation, including referral and assistance for such transportation | X |  | CIL |
| Physical rehabilitation |  |  |  |
| Therapeutic treatment |  |  |  |
| Provision of needed prostheses and other appliances and devices | X |  | CIL |
| Individual and group social and recreational services | X | X | CIL |
| Training to develop skills specifically designed for youths who are individuals with significant disabilities to promote self-awareness and esteem, develop advocacy and self-empowerment skills, and explore career options | X |  | CIL |
| Services for children |  | X | DSE |
| Services under other Federal, State, or local programs designed to provide resources, training, counseling, or other assistance, of substantial benefit in enhancing the independence, productivity, and quality of life of individuals with disabilities | X | X | CIL,DSE, OVR |
| Appropriate preventive services to decrease the need of individuals with significant disabilities for similar services in the future |  | X | DSE |
| Community awareness programs to enhance the understanding and integration into society of individuals with disabilities | X | X | CIL, DSE |
| Such other services as may be necessary and not inconsistent with the Act | X | X | CIL, DSE |

2.2 Outreach

Identify steps to be taken regarding statewide outreach to populations that are unserved or underserved by programs that are funded under Title VII, including minority groups and urban and rural populations.

The Kentucky SILC, in collaboration and conjunction with the DSE, Kentucky Office of Vocational Rehabilitation, and CILs, has reviewed demographic data to identify and locate individuals with significant disabilities in the Commonwealth. Special attention was given to individuals who are members of racial or ethnic minorities, and those living in un-served geographic areas.

There are well over 875,000 Kentuckians who self identify as having a disability in Kentucky. Due to these sheer numbers, the reality is that all disability groups within Kentucky are underserved and many are un-served depending on geographical location. The limited number of CILs and the limited amount of resources creates an extremely difficult challenge when attempting to serve as many individuals as possible in the most efficient and effective manner.

The KYSILC plans to focus efforts during this state plan cycle on developing an expanded network of Centers for Independent Living. Due to limited resources some Centers may only operate limited hours but individuals would also have access to information via the Aging Disability Resource Centers.

Future Centers will be located in key areas with primary focus on areas with high percentages of individuals who are disabled, living in poverty in isolated regions of the state and who have poor health status.

The largest area of individuals living in poverty, with both poor health status and who self-identify as disabled, is centered in the Kentucky River region of Southeastern Kentucky. All eight of the counties in that region are ranked in the bottom 10 % of the 120 counties within the Commonwealth. Unfortunately, the current independent living network does not have a Center for Independent Living in this area creating a significant un-served area. dRC covers 3 of the 8 counties.

The largest percentage of individuals who are minority and disabled per county population is also located in Eastern Kentucky.

The SILC, CILs and DSE will work together to secure funding to expand services to this grossly unserved area. In addition, the current CILs will continue to provide the five core services in their designated areas. Specific outreach to minorities will include the provision of educational materials to churches, community based services and family resource centers located in each of the school districts.

* 1. Coordination

Plans for coordination of services and cooperation among programs and organizations that support community life for persons with disabilities.

SILC representatives serve on the Statewide Council for Vocational Rehabilitation (SCVR) and the Statewide Rehabilitation Council for the Blind. The Office of Vocational Rehabilitation and DAIL each have staff members who serve on the SILC. The CIL Directors elect, from among themselves, a representative to serve on the SILC. The CIL Directors group includes a liaison from the Department for Aging in Independent Living (DAIL). Opportunities are provided at each SILC meeting for these parties and other interested individuals to share information about upcoming events and activities.

The SILC will meet with numerous other representatives of Councils and Boards to coordinate activities and discuss common initiatives.

SILC members participate on a variety of councils, commissions and boards. Below is a listing of these entities. It is not intended to be a comprehensive list or to limit the participation of members on other entities not listed below.

Hart Supported Living Program

The ARC of KY

ADA Action Network

APRIL

NASILC

National Federation for the Blind

National Council on Independent Living

Emergency Preparedness Functional Needs Group

Commonwealth Council on Developmental Disabilities

The SILC coordinator and individual SILC members are involved in the 874k Coalition. This coalition conducts an annual event to educate individuals with disabilities, their families and advocates how to work with the representatives and elected officials.

Information about rallies, legislative issues, conferences and newsletters are shared with SILC members, CIL Directors, OVR, DAIL and OVR staff through e-mail distribution lists and is posted on the SILC Facebook page. When consumers attend an event, many groups including the CILs, assist with arranging transportation, obtaining meals, and, if necessary, lodging. CIL staff serves on such local committees as the Chamber of Commerce, local transportation providers, and Area Development Districts among others.

The Kentucky Department for Aging and Independent Living (DAIL) oversees the administration of statewide programs and services on behalf of Kentucky's elders and individuals with disabilities. In partnership with Kentucky's Area Agencies on Aging and Independent Living, Community Mental Health Centers, Centers for Independent Living and other community partners, DAIL provides leadership and addresses issues and circumstances that stand in the way of elders and individuals with disabilities achieving the best possible quality of life. The Center for Accessible Living administers DAIL’s Personal Care Attendant Program (PCAP) for several regions of the state. The SILC, CILs and DAIL continue to investigate other possibilities for collaboration to determine how to best harmonize the various programs each provides to maximize usage of resources.

**Section 3: Network of Centers**

3.1 Existing Centers

Current Centers for Independent Living including: legal name; geographic area and counties served; and source(s) of funding. Oversight process, by source of funds (e.g., Part B, Part C, state funds, etc.) and oversight entity.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Legal Name | Geographic Area | Sources of Funding | Oversight Process | Oversight entity | SPIL Signatory |
| Center for Accessible Living – Bowling Green | Allen, Barren, Butler, Edmonson, Hart, Logan, Metcalfe, Monroe, Simpson, and Warren counties.  | Part B | Quarterly Reports to SILC. Monthly reports with invoices to DSE. Submission of PPR annually. | SILC | No |
| Independence Place | Anderson, Bourbon, Boyle, Clark, Estill, Fayette, Franklin, Garrard, Harrison, Jessamine, Lincoln, Madison, Mercer, Nicholas, Powell, Scott and Woodford counties | Part B | Quarterly Reports to SILC. Monthly reports with invoices to DSE.Submission of PPR annually. | SILC | Yes |
| Center for Accessible Living – Murray | Ballard, Calloway, Carlisle, Fulton, Graves, Hickman, Marshall and McCracken counties.  | Part C | Submits CIL PPR to ACL/OILP and SILC | ACL/OILP | No |
| Center for Accessible Living, Louisville | Bullitt, Henry, Jefferson, Oldham, Shelby, Spencer and Trimble counties | Part C | Submits CIL PPR to ACL/OILP and SILC | ACL/OILP | Yes |
| disABILITY Resource Center, Hazard | Perry, Letcher, Harlan, Bell, Leslie counties | Part C | Submits CIL PPR to ACL/OILP and SILC | ACL/OILP | Yes |

There are two Centers for Independent Living: Center for Accessible Living in Louisville, Independence Place in Lexington; and three satellite Centers for Independent Living: Center for Accessible Living Bowling Green, the Center for Accessible Living in Murray, and disABILITY Resource Center in Hazard, which is an affiliate of disABILITY Resource Center in Knoxville, Tennessee. The Center for Independent Living Options in Cincinnati, Ohio offers some programs in Northern Kentucky, but does not utilize federal funds for these programs, instead utilizing discretionary funds.

3.2 Expansion and Adjustment of Network

Plan and priorities for use of funds, by funding source, including Part B funds, Part C funds, State funds, and other funds, whether current, increased, or one-time funding and methodology for distribution of funds. Use of funds to build capacity of existing Centers, establish new Centers, and/or increase statewideness of Network.

The KYSILC and the DSE are committed to expanding the statewide network of Centers to ensure the availability of independent living services to all Kentuckians with disabilities.

Designs for further expansion of the network include consideration of areas identified as un-served and underserved according to targeted areas of need and in accordance with the directives set forth in Section 723 of the Rehabilitation Act (34 CFR 366.22).

Federal Title VII Part B and C IL funds shall continue to be awarded to eligible Centers meeting the standards and assurances established in Section 725 of the Rehabilitation Act, the requirements of the SILC to receive allocations as a CIL and as identified in the SPIL and the contractual requirements between the CIL and DSE.

Part B funding will be distributed as follows: DSE will retain 5 percent for SILC operations; $39,969 will be distributed to OVR for older blind IL services; and the remainder will be divided between Independence Place and Center for Accessible Living Bowling Green for center operations.

If new Part C funds less than $160,000 are allocated to Kentucky, the SILC shall support any existing Part B Center until the Center reaches a maximum allocation of $160,000. Additional funding will be split among the CILs based in Kentucky. Funding is determined by the SILC based upon the geographical area and population.

If new on-going state, Part B or Part C funds totaling at least $160,000 becomes available and each current CIL is already funded to at least $160,000, the SILC shall develop a Request for Proposal (RFP) to start a new Center in an area determined to be unserved by the SILC. In order to facilitate the development of proposals, the DSE may provide technical assistance to any CIL or eligible entity requesting such. In the event that a proposal is accepted and funds are awarded, funds will be dispersed according to the terms of the proposal, and the recipient agreement.

Minimum funding level for a Center and formula/plan for distribution of funds to bring each Center to the minimum. Exceptions must be explained with sufficient detail.

The SILC has identified $160,000 to open a new CIL. This amount was determined after much discussion among the SPIL committee members and based on public comment. This amount reflects the minimum cost of staffing, applicable taxes and insurance, rental of office space, accommodations, travel, and other costs associated with opening a CIL.

If Kentucky receives additional Part B funding, that additional funding would be designated to Independence Place until its funding reaches the same as CAL Bowling Green. Further additional Part B funding will be split between Independence Place and CAL Bowling Green until both reach $160,000 of Part B funding. Further additional funding will be split evenly among CAL Bowling Green, Independence Place and disABILITY Resource Center.

If state general funds less than $160,000 become available, the SILC recommends funding be allocated to CAL Bowling Green, Independence Place and disABILITY Resource Center based on the number of people with disabilities in their service area according tothe most current census data.

Action/process for distribution of funds relinquished or removed from a Center and/or if a Center closes.

In the case of a Part C grant being relinquished or terminated, it is the desire of the state that a competition be held so that a new Center for Independent Living can be established in the relinquished/terminated grant’s service area or area as determined by the SILC based on current county demographics.

In the case of a Part B grant being relinquished or terminated, the SILC in conjunction with the DSE shall conduct a competition so that a new Center for Independent Living can be established in the relinquished/terminated grant’s service area or area as determined by the SILC based on current county demographics.

Plan/formula for adjusting distribution of funds when cut/reduced.

If Part B funds are reduced, funding reductions would be split based on the percentage of funds received by each Part B funded center.

Plan for changes to Center service areas and/or funding levels to accommodate expansion and/or adjustment of the Network.

Center service areas will remain the same for the duration of this SPIL. If additional funding becomes available, Centers will utilize funds to enhance operations in current service areas.

Plan for one-time funding and/or temporary changes to Center service areas and/or funding levels.

The SILC recommends any one-time funding be split among Center for Accessible Living – Bowling Green, Independence Place and disABILITY Resource Center. The funds should be allocated to special projects or enhancements to current projects, such as youth programs or job resource programs.

**Section 4: Designated State Entity**

 Department of Aging and Independent Living will serve as the entity in Kentucky designated to receive, administer, and account for funds made available to the state under Title VII, Chapter 1, Part B of the Act on behalf of the State. *(Sec. 704(c))*

4.1 DSE Responsibilities

**(1)** receive, account for, and disburse funds received by the State under this chapter based on the plan;

**(2)** provide administrative support services for a program under Part B, and a program under Part C in a case in which the program is administered by the State under section 723;

**(3)** keep such records and afford such access to such records as the Administrator finds to be necessary with respect to the programs;

**(4)** submit such additional information or provide such assurances as the Administrator may require with respect to the programs; and

**(5)** retain not more than 5 percent of the funds received by the State for any fiscal year under Part B. for the performance of the services outlined in paragraphs (1) through (4).

4.2 Grant Process & Distribution of Funds

Grant processes, policies, and procedures to be followed by the DSE in the awarding of grants of Part B funds.

The DSE provides the following administrative support services for the Part B and Part C programs: receives, accounts for, and disburses funds received by the State under Chapter 1 in accordance with the SPIL; provides administrative support services for the part B State IL services program; keeps such records and affords such access to such records as the Secretary finds to be necessary with respect to the programs; and submits the SPIL and such additional information or provides such assurances as the Secretary may require with respect to the programs.

4.3 Oversight Process for Part B Funds

The oversight process to be followed by the DSE.

The DSE and CILs receiving Part B funds enter into a contract for administration of the Part B funds. The CILs submit a budget showing how the Part B funds will be spent for the fiscal year, then submit monthly invoices and progress reports. Invoices and progress reports are reviewed by the SILC Coordinator and the DSE financial staff before payments are processed. The Progress report shows progress on objectives and activities from this SPIL.

CILs submit PPR information to the SILC Coordinator annually to complete the PPR for the SILC.

4.4 Administration and Staffing

Administrative and staffing support provided by the DSE.

The DSE employs a half-time SILC Coordinator. This Coordinator is dedicated to: facilitating all SILC activities; coordination and support to the full SILC; all SILC Committees; making meeting arrangements; taking or ensuring minutes are taken at all meetings; completing any tasks assigned by the SILC; maintaining public awareness materials; attending meetings and functions on the behalf of the SILC; and managing the SILC activities.

The Office of Vocational Rehabilitation (OVR) provides, to the Kentucky SILC, the use of interpreters, access to a Brailler, and the use of assistive listening devices. The DSE provides a telephone line, meeting rooms and yearly training to CIL staff and the SILC membership. Other in-kind resources are offered to CILs depending upon need and availability.

4.5 State Imposed Requirements

State-imposed requirements contained in the provisions of this SPIL including: *(45 CFR 1329.17(g))*

* State law, regulation, rule, or policy relating to the DSE’s administration or operation of IL programs
* Rule or policy implementing any Federal law, regulation, or guideline that is beyond what would be required to comply with 45 CFR 1329
* That limits, expands, or alters requirements for the SPIL

Council members are limited to a $100 per meeting honorarium up to a $600 annual limit by state law.

Non-profit agencies (Centers for Independent Living) are required to complete an annual filing with the Secretary of State’s Office and to file copies of their IRS 990 form with the Attorney General’s Office.

4.6 722 vs. 723 State

Check one:

X 722 (if checked, will move to Section 5)

 723 (if checked, will move to Section 4.7)

4.7 723 States

Order of priorities for allocating funds amounts to Centers, agreed upon by the SILC and Centers, and any differences from 45 CFR 1329.21 & 1329.22.

How state policies, practices, and procedures governing the awarding of grants to Centers and oversight of the Centers are consistent with 45 CFR 1329.5, 1329.6, & 1329.22.

**Section 5: Statewide Independent Living Council (SILC)**

5.1 Establishment of SILC

How the SILC is established and SILC autonomy is assured.

The KY SILC is not established as an entity within any State agency, including the DSE, and is independent of the DSE and all other State agencies. The KY SILC was created by Executive Order of the Governor and affirmed by the 1994 General Assembly. It is now attached to the Department for Aging and Independent Living for fiscal purposes only. The KY SILC operates as a separate entity.

5.2 SILC Resource plan

Resources (including necessary and sufficient funding, staff/administrative support, and in-kind), by funding source and amount, for SILC to fulfill all duties and authorities.

The SILC receives $65,000 in Innovation and Expansion funds from OVR for the operation of the SILC. The DSE utilizes 5% of the Part B funds ($16,935.85 in FY20) to supplement this for operation expenses for the SILC. The State 10% match is provided as part of the SILC Coordinator’s salary and benefits. The DSE provides financial staff to process monthly invoices for payment to the CILs from the Part B award.

Process used to develop the Resource Plan.

The SILC, in conjunction with the DSE, developed a resource plan for the provision of resources (including staff and personnel) made available through innovation and expansion funds, and from other sources. The resource plan addresses the three years of this SPIL.

The funds are used to cover all expenses associated with SILC activities and meetings necessary to carry out the functions of the SILC during the SPIL. These expenses include: reimbursements for SILC member travel; attendant/child care expenses; an honoraria/lost wages up to $100 for six times during one year; and the salary and fringe benefits of a part-time SILC coordinator. The current resource plan allocates $65,000 to fund SILC activities from I and E funds.

Process for disbursement of funds to facilitate effective operations of SILC.

CILs receiving Part B funding submit monthly invoices to the DSE. The invoices and attached reports are reviewed by the SILC Coordinator and DSE financial staff before payments are processed through the state’s payment system and checks are distributed from the Kentucky Treasurer.

The SILC Coordinator works with DSE financial staff to pay for meeting expenses and utilizes the states travel reimbursement process to reimburse SILC members for travel expenses related to meetings.

Justification if more than 30% of the Part B appropriation is to be used for the SILC Resource Plan.

**N/A**

5.3 Maintenance of SILC

How State will maintain SILC over the course of the SPIL.

The Nominating Committee of the KY SILC reviews annually the membership of the Council and terms of the members. Unrepresented and underrepresented groups are identified. Whenever an opening occurs either through resignation, death or term limits, the Nominating Committee solicits recommendations for potential candidates from: Centers for Independent Living, Council members, and other disability agencies or groups. The individual is contacted and invited to fill out the Boards and Commissions Form, the SILC questionnaire and submit a resume to the Committee. The Committee meets and reviews all of the documents and determines the appropriate composition requirement for the individual to serve. The Nominating Committee reviews each candidate based on a set of criteria in regards to gaps in the membership; geographic, ethnic, gender, disability representation, etc.; and also inquires about the knowledge of the candidate in regards to the IL philosophy and Kentucky IL network prior to making a recommendation to the full SILC for nomination. The Committee brings their nominations to the full SILC for their vote. If approved by the SILC, staff drafts the necessary letter and submits all documents to the Governor’s Office, Boards and Commissions. Pending approval by the Governor’s Office, an Executive Order is issued. The SILC Coordinator works closely with the Governor’s staff to ensure that appointments are handled as quickly as possible.

The Chair, Vice Chair and two Members-At-Large are elected from the membership of the SILC at the April meeting. Candidates are vetted through the Nomination Committee prior to the April meeting and additional candidates are solicited at the April Quarterly Meeting. The SILC recently updated Bylaws to set terms as two years for Chair and Vice Chair. Members-At-Large were already elected to two-year terms in alternating years, so that one Member-At-Large is elected each year.

The DSE hosts office space, telephone line and website for the SILC. The SILC coordinator works in the office space. DSE representatives offer guidance to the SILC, but do not interfere in decisions of the Council. The SILC oversees the activities of the SILC Coordinator.

**Section 6: Legal Basis and Certifications**

* 1. Designated State Entity (DSE)

The state entity/agency designated to receive and distribute funding, as directed by the SPIL, under Title VII, Part B of the Act is Department for Aging and Independent Living.

Authorized representative of the DSE Victoria Elridge Title Commissioner .

* 1. Statewide Independent Living Council (SILC)

The Statewide Independent Living Council (SILC) that meets the requirements of section 705 of the Act and is authorized to perform the functions outlined in section 705(c) of the Act in the State is \_\_\_\_\_\_\_\_\_\_Kentucky Statewide Independent Living Council .

* 1. Centers for Independent Living (CILs)

The Centers for Independent Living (CILs) eligible to sign the SPIL, a minimum of 51% whom must sign prior to submission, are:

Center for Accessible Living

Independence Place

disABILITY Resource Center

6.4 Authorizations

6.4.a. The SILC is authorized to submit the SPIL to the Independent Living Administration, Administration for Community Living. YES (Yes/No)

6.4.b. The SILC and CILs may legally carryout each provision of the SPIL. YES (Yes/No)

6.4.c. State/DSE operation and administration of the program is authorized by the SPIL.

YES (Yes/No)

**Section 7: DSE Assurances**

 Victoria Elridge acting on behalf of the DSE Department for Aging and Independent Living located at 275 E. Main St., Frankfort, KY 40621, 502-564-6930 and e-mail address) *45 CFR 1329.11* assures that:

7.1. The DSE acknowledges its role on behalf of the State, as the fiscal intermediary to receive, account for, and disburse funds received by the State to support Independent Living Services in the State based on the plan;

7.2. The DSE will assure that the agency keeps appropriate records, in accordance with federal and state law, and provides access to records by the federal funding agency upon request;

7.3. The DSE will not retain more than 5 percent of the funds received by the State for any fiscal year under Part B for administrative expenses;

7.4. The DSE assures that the SILC is established as an autonomous entity within the State as required in *45 CFR 1329.14*;

7.5. The DSE will not interfere with the business or operations of the SILC that include but are not limited to:

1. Expenditure of federal funds

2. Meeting schedules and agendas

3. SILC board business

4. Voting actions of the SILC board

5. Personnel actions

6. Allowable travel

7. Trainings

7.6. The DSE will abide by SILC determination of whether the SILC wants to utilize DSE staff:

1. If the SILC informs the DSE that the SILC wants to utilize DSE staff, the DSE assures that management of such staff with regard to activities and functions performed for the SILC is the sole responsibility of the SILC in accordance with Sec. 705(e)(3) of the Act (Sec. 705(e)(3), 29 U.S.C.796d(e)(3)).

7.7. The DSE will fully cooperate with the SILC in the nomination and appointment process for the SILC in the State;

7.8. The DSE shall make timely and prompt payments to Part B funded SILCs and CILs:

1. When the reimbursement method is used, the DSE must make a payment within 30 calendar days after receipt of the billing, unless the agency or pass-through entity reasonably believes the request to be improper;

2. When necessary, the DSE will advance payments to Part B funded SILCs and CILs to cover its estimated disbursement needs for an initial period generally geared to the mutually agreed upon disbursing cycle; and

3. The DSE will accept requests for advance payments and reimbursements at least monthly when electronic fund transfers are not used, and as often as necessary when electronic fund transfers are used, in accordance with the provisions of the Electronic Fund Transfer Act (15 U.S.C. 1693-1693r).

The signature below indicates this entity/agency’s agreement to: serve as the DSE and fulfill all the responsibilities in Sec. 704(c) of the Act; affirm the State will comply with the aforementioned assurances during the three-year period of this SPIL; and develop, with the SILC, and ensure that the SILC resource plan is necessary and sufficient (in compliance with section 8, indicator (6) below) for the SILC to fulfill its statutory duties and authorities under Sec. 705(c) of the Act, consistent with the approved SPIL.

Victoria Elridge, Commissioner, Department for Aging and Independent Living

Name and Title of DSE director/authorized representative

Signature Date

Electronic signature may be used for the purposes of submission, but hard copy of signature must be kept on file by the SILC.

**Section 8: Statewide Independent Living Council (SILC) Assurances and Indicators of Minimum Compliance**

8.1 Assurances

 Amy Dougherty acting on behalf of the SILC Kentucky Statewide Independent Living Council located at 275 E. Main St., Frankfort, KY 40621, 502-564-6930, scott.sisco@ky.gov *45 CFR 1329.14* assures that:

1. The SILC regularly (not less than annually) provides the appointing authority recommendations for eligible appointments;
2. The SILC is composed of the requisite members set forth in the Act;
3. The SILC terms of appointment adhere to the Act;
4. The SILC is not established as an entity within a State agency in accordance with 45 CFR Sec. 1329.14(b);
5. The SILC will make the determination of whether it wants to utilize DSE staff to carry out the functions of the SILC;
	1. The SILC must inform the DSE if it chooses to utilize DSE staff;
	2. The SILC assumes management and responsibility of such staff with regard to activities and functions performed for the SILC in accordance with the Act.
6. The SILC shall ensure all program activities are accessible to people with disabilities;
7. The State Plan shall provide assurances that the designated State entity, any other agency, office, or entity of the State will not interfere with operations of the SILC, except as provided by law and regulation and;
8. The SILC actively consults with unserved and underserved populations in urban and rural areas that include, indigenous populations as appropriate for State Plan development as described in Sec. 713(b)(7) the Act regarding Authorized Uses of Funds.

Section 8.2 Indicators of Minimum Compliance

Indicators of minimum compliance for Statewide Independent Living Councils (SILC) as required by the Rehabilitation Act (Section 706(b), 29 U.S.C. Sec 796d-1(b)), as amended and supported by 45 CFR 1329.14-1329.16; and Assurances for Designated State Entities (DSE) as permitted by Section 704(c)(4) of the Rehabilitation Act (29 U.S.C. Sec. 796c(c)(4)), as amended.

1. STATEWIDE INDEPENDENT LIVING COUNCIL INDICATORS. –
2. SILC written policies and procedures must include:
	1. A method for recruiting members, reviewing applications, and regularly providing recommendations for eligible appointments to the appointing authority;
	2. A method foridentifying and resolving actual or potential disputes andconflicts of interest that are in compliance with State and federal law;
	3. A process to hold public meetings and meet regularly as prescribed in 45 CFR 1329.15(a)(3);
	4. A process and timelines for advance notice to the public of SILC meetings in compliance with State and federal law and 45 CFR 1329.15(a)(3);
	5. A process and timeline for advance notice to the public for SILC “Executive Session” meetings, that are closed to the public, that follow applicable federal and State laws;
		1. “Executive Session” meetings should be rare and only take place to discuss confidential SILC issues such as but not limited to staffing.
		2. Agendas for “Executive Session” meetings must be made available to the public, although personal identifiable information regarding SILC staff shall not be included;
	6. A process and timelines for the public to request reasonable accommodations to participate during a public Council meeting;
	7. A method for developing, seeking and incorporating public input into, monitoring, reviewing and evaluating implementation of the State Plan as required in 45 CFR 1329.17; and
	8. A process to verify centers for independent living are eligible to sign the State Plan in compliance with 45 CFR 1329.17(d)(2)(iii).
3. The SILC maintains regular communication with the appointing authority to ensure efficiency and timeliness of the appointment process.
4. The SILC maintains individual training plans for members that adhere to the SILC Training and Technical Assistance Center’s SILC training curriculum.
5. The SILC receives public input into the development of the State Plan for Independent Living in accordance with 45 CFR 1329.17(f) ensuring:
	1. Adequate documentation of the State Plan development process, including but not limited to, a written process setting forth how input will be gathered from the state’s centers for independent living and individuals with disabilities throughout the state, and the process for how the information collected is considered.
	2. Allmeetings regarding State Plan development and review are open to the public and provides advance notice of such meetings in accordance with existing State and federal laws and 45 CFR 1329.17(f)(2)(i)-(ii);
	3. Meetings seeking public input regarding the State Plan provides advance notice of such meetings in accordance with existing State and federal laws, and 45 CFR 1329.17(f)(2)(i);
	4. Public meeting locations, where public input is being taken, are accessible to all people with disabilities, including, but not limited to:
		1. proximity to public transportation**,**
		2. physical accessibility, and
		3. effective communication and accommodations that include auxiliary aids and services, necessary to make the meeting accessible to all people with disabilities.
	5. Materials available electronically must be 508 compliant and, upon request, available in alternative and accessible format including other commonly spoken languages.
6. The SILC monitors, reviews and evaluates the State Plan in accordance with 45 CFR 1329.15(a)(2) ensuring:
	1. Timely identification of revisions needed due to any material change in State law, state organization, policy or agency operations that affect the administration of the State Plan approved by the Administration for Community Living.
7. The SILC State Plan resource plan includes:
	1. Sufficient funds received from:
		1. Title VII, Part B funds;
			1. If the resource plan includes Title VII, Part B funds, the State Plan provides justification of the percentage of Part B funds to be used if the percentage exceeds 30 percent of Title VII, Part B funds received by the State;
		2. Funds for innovation and expansion activities under Sec. 101(a)(18) of the Act, 29 U.S.C. Sec. 721(a)(18), as applicable;
		3. Other public and private sources.
	2. The funds needed to support:

i. Staff/personnel;

ii. Operating expenses;

iii. Council compensation and expenses;

iv. Meeting expenses including meeting space, alternate formats, interpreters, and other accommodations;

v. Resources to attend and/or secure training and conferences for staff and council members and;

vi. Other costs as appropriate.

The signature below indicates the SILC’s agreement to comply with the aforementioned assurances and indicators:

Amy Dougherty

Name of SILC chairperson

Signature Date

Electronic signature may be used for the purposes of submission, but hard copy of signature must be kept on file by the SILC.

**Section 9: Signatures**

The signatures below are of the SILC chairperson and at least 51 percent of the directors of the centers for independent living listed in section 6.3. These signatures indicate that the Kentucky Statewide Independent Living Council and the centers for independent living in the state agree with and intend to fully implement this SPIL’s content. These signatures also indicate that this SPIL is complete and ready for submission to the Independent Living Administration, Administration for Community Living, U.S. Department of Health and Human Services.

The effective date of this SPIL is October 1, 2020 (year)

SIGNATURE OF SILC CHAIRPERSON DATE

NAME OF SILC CHAIRPERSON

NAME OF CENTER FOR INDEPENDENT LIVING (CIL)

SIGNATURE OF CIL DIRECTOR DATE

NAME OF CIL DIRECTOR

NAME OF CENTER FOR INDEPENDENT LIVING (CIL)

SIGNATURE OF CIL DIRECTOR DATE

NAME OF CIL DIRECTOR

NAME OF CENTER FOR INDEPENDENT LIVING (CIL)

SIGNATURE OF CIL DIRECTOR DATE

NAME OF CIL DIRECTOR

NAME OF CENTER FOR INDEPENDENT LIVING (CIL)

SIGNATURE OF CIL DIRECTOR DATE

NAME OF CIL DIRECTOR

(INSERT ADDITIONAL CILS AS NEEDED)

Electronic signatures may be used for the purposes of submission, but hard copy of signature must be kept on file by the SILC.